

Advanced Compliance Institute



***A focus on compliance management,
compliance leadership, and the day-to-day issues
that may arise in your banks.***

October 11 & 12, 2018

SHAZAM Education Center
CBAI Headquarters
901 Community Dr.
Springfield, IL 62703
217/529-2265

Presented By:



Sponsored By:



Advanced Compliance Institute



The Community Bankers of Illinois Advanced Compliance School is designed specifically for those who want to upgrade their skills in compliance management, as well as their ability to influence others to solve compliance problems facing their bank. This interactive course will generally not discuss individual regulations, but will focus on compliance management, compliance leadership, and the day-to-day issues that may arise.

This course is designed to be extremely interactive and the input of attendees is required. Each attendee will be challenged to share experiences, challenges, successes and failures so that the larger group may benefit from best practices and approaches that did and did not work. The course will include multiple case studies and a round table discussion of pre-planned compliance management topics.

Attendees are encouraged to bring questions and suggest topics they would like addressed by the group. Prior to the school, all attendees will be solicited for topics, and that topic list will be forwarded to all attendees for their "contemplation" before the school.

The school will train attendees to recognize and assess regulatory problems facing their banks and discuss potential solutions to resolve these problems. Bankers will learn how to develop process models, assess compliance risk, determine weaknesses in controls, create controls to prevent and detect problems, manage a compliance-monitoring program, work through regulatory changes and influence others to implement solutions. Attendees will gain valuable hands-on experience through case studies that require them to make judgments and develop influence strategies in scenarios designed to depict real-world situations.

By attending this school, attendees will receive two days of intense, practical compliance training that can be applied directly to their careers as compliance professionals.

Who Should Attend

While any level of compliance expertise will gain some knowledge, this course is directed toward compliance professionals with at least a few years of compliance experience.

Date and Location

CBAI will be offering this seminar as follows:

October 11 & 12, 2018

SHAZAM Education Center

CBAI Headquarters, 901 Community Dr., Springfield, IL 62703 (217/529-2265)

Registration begins at 8:30 AM on the first day.

Seminar will run from 9:00 AM to 4:00 PM each day.

Advanced Compliance Institute

AGENDA

- Compliance Management Systems
 - Risk Rating Compliance issues
- Dealing with Management
- Influence and Negotiation Strategies
- Managing Compliance Monitoring
- Implementing Change
- Managing Audit
- Topics as Suggested by Attendees
- Case Study – Responding to an Exam
- Case Study – Putting it all together

A Commitment to You

The Advanced Compliance Institute was designed to deliver a cost-effective compliance service to our member banks. Community Bankers Association of Illinois take pride in knowing that this program has been developed specifically to meet the unique needs of the community bank.

Presenter



Bill Elliott, CRCM, Senior Consultant and Manager of Compliance, Young & Associates, Inc.

With over 35 years of banking experience, Bill Elliott leads the compliance department at Young & Associates, Inc. where he conducts compliance reviews, leads compliance seminars, conducts in-house training, and writes compliance articles and training materials. During his career as a banker, Bill spent 15 years as a compliance officer in a large community bank. He has also been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments including loan review, consumer/commercial loan processing, mortgage loan processing, loan and credit administration, collections, and commercial loan workout.

ADVANCED COMPLIANCE INSTITUTE

October 11 & 12, 2018 - Springfield, IL

REGISTRATION FEES:

CBAI Member

- \$ 435 for the first person
- \$ 405 each for two or more

Prospective CBAI Member

- \$ 835 for the first person
- \$ 805 each for two or more

For additional information, call:

Tracy McQuinn

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and Special Events

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in Illinois or (217) 529-2265

Terry Griffin

Chicago area Vice President, at
(708) 714-3333

Fax number: (217) 585-8738



REGISTRATION FORM

Designated Banker:

Name _____

Bank _____

Address _____

City _____ State ____ ZIP _____

Telephone _____

Fax _____

E-mail _____

(E-mail address required for registration)

Additional Delegates (with e-mails):

I have special needs, please contact me before the seminar.

Please select your payment method:

Check Enclosed Pay at Door Check in Mail Credit Card*

** If paying by credit card (Visa & MasterCard accepted), please fill out the following information:*

Name as it reads on card:

Company name on card:

Billing address of card:

Card # _____

Exp. date _____ 3-digit code _____

Total Amount Enclosed: \$ _____