

Top 50 “Most Important” Safe Deposit Procedures — (Compliance, Operations & Legal Issues - 2019 Regulatory Update)



- 
- FAQs
 - Operations
 - Compliance Issues
 - Legal Issues
 - Day-to-Day Operating Procedures
 - SCRA, USA Patriot Act
 - SAR, Bank Protection Act
 - OCC, FDIC & ADA Regulatory Requirements
 - Procedures for Renting, Surrendering, Box Access, Key Control, Deceased Renters, Living Trusts, Payable-On-Death Accounts, Delinquent Boxes, Record Retention, Unclaimed Property



May 2, 2019
Shazam Education Center
Springfield, IL

Course Introduction

Are your internal safe deposit procedures in compliance with all state, federal and security regulations? Do you follow correct day-to-day operating procedures? This timely and comprehensive presentation contains important information about your "most frequently asked" operations and compliance questions. This jam-packed day also covers the SCRA, suspicious activity reporting and other regulatory requirements, plus recommended procedures for renting, surrendering, box access, key control, deceased renters, living trusts, payable-on-death accounts, delinquent boxes, record retention, unclaimed property and other legal and operational issues.

Key Topics

This program answers many thought provoking questions and addresses concerns regarding the following issues:

Operations, Lawsuits & Liability Issues:

- Can safe deposit box keys be duplicated using silly putty and epoxy glue?
- Will your part-time safe deposit attendant make a good courtroom witness?
- Do you follow the 10 necessary steps when granting box access?
- Do you have at least 10 items included in your new box renter's kit?
- Can FDIC insurance signs, logos or brochures create safe deposit liability?
- Can you purchase locksmith tools on eBay that can open boxes in seconds?
- Are you providing a "No Insurance Disclosure Notice" to all new box renters?
- Do you require five types of identification from every signer on a new box contract?
- Are you aware of your liability if box access is allowed "before or after" lobby hours?
- Do you know why you will lose a lawsuit if you are leaving anyone in your vault alone?

Regulatory & Compliance Issues: (Includes State & Federal Laws)

- Does the new "Beneficial Ownership of Legal Entities" regulation apply to business boxes?
- Are you aware of the seven suspicious safe deposit box transactions that trigger a SAR?
- Do you know what identification must be provided to access a deceased renter's box?
- Are you collecting the "SEVEN" drilled box fees from all your delinquent box renters?
- Do you file a SAR if suspicious items are found during any drilled box inventory?
- Does the Servicemembers Civil Relief Act (SCRA) apply to all past-due boxes?
- Are you in compliance with your safe deposit state and federal regulations?
- Does the Americans with Disabilities Act affect safe deposit box access?
- Should a power of attorney be used to access any safe deposit box?
- Do you have "right of offset" against a past due renter's account?

Who Should Attend

This informative program is designed to update compliance officers, vault supervisors and attendants, branch managers, auditors, training directors, new account personnel and your in-house legal counsel.

Speaker Information

David McGuinn, a nationally known expert in the area of safe deposit, provides clear, concise answers to complicated questions regarding your institution's responsibility and potential liability. He identifies and discusses various compliance issues and provides correct procedures for each regulatory, operations, liability and disaster topic. McGuinn, president and founder of Safe Deposit Specialists, is a former banker with 45 years of safe-deposit experience. His nationwide clients often refer to him as the safe-deposit GURU. In all 50 states he has trained more than 250,000 safe-deposit personnel since 1969 and is a featured speaker for the FDIC, and has been interviewed by all major TV networks and by numerous nationwide financial publications on current safe-deposit issues. McGuinn has created many safe-deposit forms, marketing brochures, training DVDs, and his Policy and Procedures Handbook, Regulatory/Compliance and Disaster Recovery Manuals are now recognized as the accepted standard for the safe-deposit industry.

What Others Have Said

"I enjoyed the 'real-life' stories of the banks that were liable in certain situations. Mr. McGuinn was very knowledgeable and also entertaining."

Janette Marie, Customer Service Representative, Peru Federal Savings Bank

"This is an excellent seminar and very eye opening. Someone from every bank should attend."

Paulann Washabaugh, Cashier, Bank of Yates City

"This seminar was very thought provoking. I went bank to the bank with numerous issues to examine in our safe deposit procedures. This was a great presentation."

Deb Gonzalez, Assistant Cashier, First National Bank, Carlyle

Registration Fees

Fees include hand-out materials, refreshment breaks, and lunch. An income-tax deduction may be allowed for educational expenses undertaken to maintain or improve professional skills.

Cancellation Policy

Registrants cancelling two days prior to each seminar receive 100% refund; one day prior, 50%; the day of the seminar, no refund. **All cancellations must be made in writing prior to the seminar day. Invoices and training materials will be sent to all "no shows."**

CBAI MEMBER

One person	\$265
Two or more from same banking institution	\$245 each

****PROSPECTIVE-MEMBER**

One person	\$465
Two or more from same banking institution	\$445 each

CDD members receive a 10% discount.

****Only financial institutions/firms eligible for CBAI membership.**

Please note that CBAI does not issue confirmations, with the exception of on-line registrations, but feel free to call the Association headquarters to confirm your registration.

Registration Form

Top 50 "Most Important" Safe Deposit Procedures — (Compliance, Operations and Legal Issues - 2019 Regulatory Update)

May 2, 2019 — Shazam Education Center:
CBAI Headquarters, Springfield

Please Print

Name of Bank _____

Address _____

City, State, Zip _____

Tel. No. _____

Name/Title _____

E-Mail _____

*E-Mail Required for Registration

Name/Title _____

E-Mail _____

*E-Mail Required for Registration

I have special needs, please contact me before the seminar.

Please select your payment method.

Check Enclosed Check in Mail

Pay at Door Credit Card*

***If you are paying by credit card, please fill out the following information. (Visa & MasterCard accepted).**

Name as It Reads on Card _____

Company Name on Card _____

Billing Address of Card _____

Card Number _____ Exp. Date _____

Security Code _____



Mail it in:
CBAI Education Department
901 Community Drive
Springfield, IL 62703-5184



Fax it in:
(217) 585-8738



Call it in:
(800) 736-2224 (Illinois only)
(217) 529-2265



Click it in:
www.cbai.com

Date and Location

May 2, 2019

Shazam Education Center:
CBAI Headquarters
901 Community Drive
Springfield, IL 62703
217/529-2265

Agenda

Registration begins at 8:30 a.m. The seminar runs from 9 a.m. to approximately 4 p.m. A continental breakfast & lunch are provided.

CBAI is a registered Public Accounting Continuing Professional Education (CPE) provider by the Illinois Dept. of Financial and Professional Regulation.

For More Information

Tracy McQuinn, Sr. Vice President
Melinda McClelland, Vice President
Jennifer Nika, Vice President
Tina Horner & Kim Harden,
Administrative Assistants
Department of Education
& Special Events
800/736-2224 217/529-2265
Fax: 217/585-8738
Terry Griffin,
Vice President Chicago Area
773/209-2260

Visit us on-line at www.cbai.com

