



COMMUNITY BANKERS ASSOCIATION OF ILLINOIS

Webinar – On-Demand Web Link

New Accounts Series:

Opening Business Accounts: Entities, Documentation, Authority & Regulatory Requirements

Tuesday, May 8, 2018

2 - 3:30 p.m.

Someone wants to open a business account. Do you know what type of business it is and the documentation you should request? How do you determine whether the person has the proper authority to open the account and what that authority means? What regulatory requirements are applicable to business accounts? From sole proprietorships to corporations and everything in between, this program provides details about the correct documents and proper use of taxpayer identification numbers for business accounts.

HIGHLIGHTS

- How will the new beneficial ownership requirements affect the account opening process?
- What documents should be required from a business before establishing an account?
- Are there specific account agreements and signature cards for businesses? How do they differ from natural person accounts?
- Who has authority to establish an account and where is that information found?
- When is it important to obtain additional information regarding account use?

TAKE-AWAY TOOLKIT

- Types of businesses and required documentation
- BSA red flags for business accounts
- Certification of beneficial ownership model
- Business due diligence checklist
- Employee training log
- Quiz you can administer to measure staff learning and a separate answer key

Attendance verification for CE credits provided upon request.

WHO SHOULD ATTEND?

This informative session is designed for anyone opening new accounts, taking deposits, or handling transactions at the teller line, by mail, ATM, or call center, including: new accounts representatives, service representatives, tellers, compliance staff, and auditors.

ABOUT THE PRESENTER

Mary-Lou Heighes is president and founder of Compliance Plus, Inc., which has assisted financial institutions with the development of compliance programs since 2000. She provides compliance training for trade associations and financial institutions. Heighes has been an instructor at regulatory compliance schools, conducts dozens of webinars, and speaks at numerous conferences throughout the country. Involved with financial institutions since 1989, Heighes has more than 20 years' compliance experience. Before starting Compliance Plus in 2000, she spent five years working as a loan officer, marketer, and collector. She also worked at a state trade association for seven years providing compliance assistance and advising on state and federal legislative issues that affect financial institutions.

THREE REGISTRATION OPTIONS

1. LIVE WEBINAR

The live webinar option allows you to have one telephone connection for the audio portion and one Internet connection (from a single computer terminal) to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that allow entrance to the seminar. The session is approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, PIN number, and handouts are emailed to you prior to the broadcast. You need the most-current version of Adobe Reader available free at www.adobe.com.

2. ON-DEMAND WEB LINK**

Can't attend the live webinar? The ON-DEMAND WEB LINK** is a recording of the live event including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Within five business days following the webinar, you are provided with a web link that can be viewed anytime for the next six months. This link expires six months after the live program date.

3. BOTH LIVE WEBINAR AND ON-DEMAND WEB LINK**

Includes options 1 and 2 above.

NEW! Listen to the on-demand web link on your iPad, iPhone, or Android. Instructions are emailed to you with the on-demand link.

**All materials are subject to copyright and intended for your bank's use only.

AFFORDABLE TRAINING, WHEN AND WHERE YOU CHOOSE.

REGISTRATION FORM

New Accounts Series: Opening Business Accounts: Entities, Documentation, Authority & Regulatory Requirements Telephone/Webcast

Tuesday, May 8, 2018 2 - 3:30 p.m.

Choose Your Training Option:

Purchase (Check one)	Training Options <i>(Registration includes one location hook-up)</i>	CBAI Member	Prospective-Member*
	Live Webinar	\$275	\$475
	On-Demand Link**	\$275	\$475
	Special Pricing for Live Webinar & On-Demand Link	\$375	\$575

**All materials are subject to copyright and intended for you bank's use only.

Name _____ Title _____

Bank _____

Street Address _____

City/State/Zip _____

Phone _____ Fax _____ Email _____

(E-mail address is required for registration).

Material Delivery Options

Check here if you are unable to receive your hook-up instructions and materials via the Internet and hard copies will be mailed to you.

***Only financial institutions/firms eligible for CBAI membership.**

Please select your payment method.

Check Enclosed Check in Mail Credit Card*

***If you are paying by credit card, please fill out the following information. (MasterCard, Visa, & Discover accepted).**

Name as It Reads on Card _____ Card Number _____

Company Name on Card _____ Expiration Date _____

Billing Address of Card _____

Three-Digit Security Code _____



Mail it in:
CBAI Education Department
901 Community Drive
Springfield, IL 62703-5184



Fax it in:
(217) 585-8738



Call it in:
(217) 529-2265



Click it in:
www.cbai.com