

Deposit Documentation



-
- Regulation E
 - Regulation CC
 - Regulation DD
 - BSA/CIP/AML/OFAC
 - GLBA Privacy
 - GLBA Safeguarding Info
 - Identity-Theft Red Flags
 - Authority to Open Accounts
 - Account Ownership
 - Uniform Commercial Code:
Articles 3, 4, and 5
 - Certain Illinois Compiled Statutes



April 24, 2018
Mt. Vernon

April 25, 2018
Springfield

April 26, 2018
Utica

Course Introduction

Objectives:

1. Define the governing rules, regulations, and laws affecting deposit documentation;
2. Explain how the rules, regulations, and laws directly affect day-to-day operations and customer interactions; and
3. Show attendees how they can apply what they learn to new circumstances and situations.

Each of these regulations, laws, or guidelines have aspects that relate to the initial disclosures, but the emphasis of this class is on the how and why. Many activities of tellers and customer-service representatives are driven by these regulations, but too often circumstances can make it difficult for those employees to apply the regulations because they don't know the details. This seminar describes the requirements, explains in a straightforward manner the basic principles, and then draws on real-life experiences to explore how to apply those principles.

Among the challenges covered are error resolutions, new-card issuance, replacement cards, delay of funds, honoring checks, split deposits, NSF checks, credit-card checks, two-party checks, CD penalties, partial withdrawals, who can open an account, how can it be titled, who can I talk with about the account, identity theft, suspicious activity, and more.

Who Should Attend?

Tellers, head tellers, new-account representatives, and anyone opening accounts or dealing with customers on the deposit side would all benefit from attending this seminar.

Key Topics



- Regulation E
- Regulation CC
- Regulation DD
- BSA/CIP/AML/OFAC
- GLBA Privacy
- GLBA Safeguarding Customer Information
- Identity-Theft Red Flags
- Authority to Open Accounts
 - Individual
 - Minor
 - Corporation
 - Partnership
- Account Ownership
 - Payable on Death
 - Tenants in Common
 - Living Trusts
- Uniform Commercial Code Articles 3, 4, and 5
- Certain Illinois Compiled Statutes

About the Presenter

Tim Tedrick CRCM, CRP, and partner at Wipfli LLP in Sterling, Illinois leads this seminar. Tedrick is the head of the financial-institution compliance practice firmwide. He specializes in compliance, BSA, loan review and internal audit. He has performed or supervised internal audit and compliance work for all sizes of financial institutions in seven states. Prior to joining Wipfli LLP, Tedrick worked at a community bank for 11 years. He is a graduate of ABA's National Graduate Compliance School in Norman, OK. He is also a Certified Regulatory Compliance Manager and a Certified Risk Professional. He teaches compliance and auditing at various statewide schools and provides training to banks on a one-to-one basis.

What Others Have Said:

"Very informative. Especially for someone new to the banking world."

Danielle McBurney, CSR
Preferred Bank, Casey

"The seminar was very informative. It's given me a lot of think about as to how we apply these principles to our bank. I would love to come to another one of Tim's session to absorb more information. Great job!"

Lara Armstrong, Teller
Streator Home Building & Loan Assoc.

Registration Fees

Fees include hand-out materials, refreshment breaks, and lunch. An income-tax deduction may be allowed for educational expenses undertaken to maintain or improve professional skills.

Cancellation Policy

Registrants cancelling two days prior to each seminar receive 100% refund; one day prior, 50%; the day of the seminar, no refund. **All cancellations must be made in writing prior to the seminar day. Invoices and training materials will be sent to all "no shows."**

CBAI MEMBER

One person.....	\$265
Two or more from same banking institution	\$245 each

**PROSPECTIVE-MEMBER

One person.....	\$465
Two or more from same banking institution	\$445 each

CDD members receive a 10% discount.

****Only financial institutions/firms eligible for CBAI membership.**

Please note that CBAI does not issue confirmations, with the exception of on-line registrations, but feel free to call the Association headquarters to confirm your registration.

Registration Form

DEPOSIT DOCUMENTATION

APRIL 24-26, 2018

Please specify preferred date and location.

- April 24, 2018, Drury Hotel, Mt. Vernon
 April 25, 2018, Shazam Education Center: CBAI, Springfield
 April 26, 2018, Starved Rock Lodge, Utica

Please Print

Name of Bank _____

Address _____

City, State, Zip _____

Tel. No. _____

Name/Title _____

E-Mail _____

Name/Title _____

E-Mail _____

I have special needs, please contact me before the seminar.

Please select your payment method.

- Check Enclosed Check in Mail
 Pay at Door Credit Card*

****If you are paying by credit card, please fill out the following information.
(Visa & MasterCard accepted).***

Name as It Reads on Card _____

Company Name on Card _____

Billing Address of Card _____

Card Number _____ Exp. Date _____

Security Code _____



Mail it in:
CBAI Education Department
901 Community Drive
Springfield, IL 62703-5184



Fax it in:
(217) 585-8738



Call it in:
(800) 736-2224 (Illinois only)



Click it in:
www.cbai.com

Dates and Locations

April 24, 2018

Drury Hotel
145 N 44th St.
Mt Vernon, IL 62864
618/246-5201

April 25, 2018

Shazam Education Center
CBAI Headquarters
901 Community Drive, Springfield, IL
217/529-2265

April 26, 2018

Starved Rock Lodge
2668 E 875th Rd.
Oglesby, IL 61348
815/667-4211

Agenda

Registration begins at 8:30 a.m. The seminar runs from 9 a.m. to approximately 4 p.m. A continental breakfast and lunch are provided.

CBAI is a registered Public Accounting Continuing Professional Education (CPE) provider by the Illinois Dept. of Financial and Professional Regulation.

For More Information

Tracy McQuinn, Senior Vice President
Valerie Johnston, Vice President
Melinda McClelland, Vice President
Jennifer Nika & Tina Horner,
Administrative Assistants
Department of Education
& Special Events
800/736-2224 217/529-2265
Fax: 217/585-8738
Terry Griffin,
Vice President Chicago Area
708/714-3333

Visit us on-line at www.cbai.com

