


Preparing for Your Next IT Exam



- 
- Cyber-Assessment Tool & Updates
 - FFIEC IT Management Handbook
 - FFIEC Information Security Handbook
 - Information & Cybersecurity Risk-Management Program
 - Common Examination Findings
 - 2017 Regulator Recommendations



January 29, 2018
Shazam Education Center
Springfield, IL

Course Introduction

Want to be better prepared for your IT examination? How about learning more about the recent FFIEC and interagency guidance and handbooks that will most definitely be a focus in your upcoming IT examination? In addition the FDIC, Federal Reserve Bank, and State Banking Agencies (CSBS) have developed a new Information Technology Risk Examination (INTREX) that is now in use; and the OCC has updated their work program to include updated procedures for Outsourced Third Party Risk Management (Vendor Management). While there are items unique to each agency, the overall concept and focus is basically the same. In this full day, information packed seminar Susan will provide an overview of the current examination changes, revised FFIEC IT Examination Booklets and "hot spots" to watch out for.

*This program will be updated with the most current information and "hot spots" relevant at the time of the program.

Key Topics

- Cyber Assessment Tool and updates
- FFIEC IT Management Handbook
- FFIEC Information Security Handbook
- Information and Cyber Security Risk Management Program
- Common Examination Findings
- 2017 Regulator Recommendations

Who Should Attend

This informative program benefits and is designed for senior management, operations, audit, compliance officers, IT staff, and anyone else responsible for preparing for and overseeing the examination program.

What Others Have Said

"Susan is very knowledgeable and made the entire seminar very entertaining. I also enjoyed how she interacted with the bankers throughout the day."

*Jonathan Terwelp, Vendor Management Coordinator
Bank of Quincy*

"This program was very informative and exactly what we needed!"

*Jordan Maxwell, Assistant Compliance Officer
Casey State Bank*

"I loved that Susan went into detail on many subjects and used day-to-day examples to explain many different points throughout the day."

*Maureen Schiesser, VP of Information Services
Park Federal Savings Bank*

Speaker Information

Susan Orr is a leading financial services expert with vast regulatory, risk management, and security best practice knowledge and expertise. During her 14 year tenure as a bank examiner, Orr held numerous lead positions including regional IT examination specialist, special assistant to the regional director, special assistant to the director of DSC, and special assistant to the vice chairman of the FDIC. Orr was also a lead instructor for the FDIC's technology school and was instrumental in key industry initiatives such as the FDIC E-Risk Strategic Initiatives Risk Monitoring Committee, the Chicago Region Interagency Technology Group, and the Federal Financial Institutions Examination Council (FFIEC) IT Handbook rewrites.

Prior to launching her consulting practice, Orr was vice president of regulatory compliance for an Internet security company where she advised staff, customers, and partners on regulation, security, and risk management.

As an auditor and consultant, Orr performs IT audit and regulatory reviews for financial institutions as well as assist institutions in preparing for and responding to a regulatory examination. Her expertise as an auditor and former examiner provides her the knowledge and expertise to assist all de novo institutions in preparing policies and procedures, and instituting controls.

Susan Orr Consulting, Ltd. also provides targeted consulting services for financial institutions. These services include but are not limited to the review and enhancement of your IT and information and cybersecurity programs and assistance with implementing correcting action from regulatory reports of examination.

Orr also consults for numerous security providers and vendors helping them align products and services to meet institution regulatory mandates. She has over 18 years experience in the IT regulatory field and speaks regularly at risk management and security seminars and conferences and has authored numerous white papers on emerging information technology and security risk management topics.

Orr retains close relationships within the FFIEC agencies as well as industry trade groups to stay abreast on new technologies, best practices, and regulatory issues.



CISA, CISM, CRP, CRISC

CERTIFIED INFORMATION
SYSTEMS AUDITOR (CISA)

CERTIFIED INFORMATION
SECURITY MANAGER (CISM)

CERTIFIED RISK PROFESSIONAL (CRP)

CERTIFIED IN RISK & INFORMATION
SYSTEM CONTROL (CRISC)

Susan Orr

Registration Fees

Fees include hand-out materials, refreshment breaks, and lunch. An income-tax deduction may be allowed for educational expenses undertaken to maintain or improve professional skills.

Cancellation Policy

Registrants cancelling two days prior to each seminar receive 100% refund; one day prior, 50%; the day of the seminar, no refund. **All cancellations must be made in writing prior to the seminar day. Invoices and training materials will be sent to all "no shows."**

CBAI MEMBER

One person	\$265
Two or more from same banking institution	\$245 each

**PROSPECTIVE-MEMBER

One person	\$465
Two or more from same banking institution	\$445 each

CDD members receive a 10% discount.

**Only financial institutions/firms eligible for CBAI membership.

Registration Form

Preparing for your Next IT Exam

January 29, 2018 Shazam Education Center:
CBAI Headquarters, Springfield

Please Print

Name of Bank _____

Address _____

City, State, Zip _____

Tel. No. _____

Name/Title _____

E-Mail _____

*E-Mail Required for Registration

Name/Title _____

E-Mail _____

*E-Mail Required for Registration

I have special needs, please contact me before the seminar.

Please select your payment method.

Check Enclosed Check in Mail

Pay at Door Credit Card*

***If you are paying by credit card, please fill out the following information. (Visa & MasterCard accepted).**

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901 Community Drive
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Fax it in:
(217) 585-8738



Call it in:
(800) 736-2224 (Illinois only)
(217) 529-2265



Click it in:
www.cbai.com

Date and Location

January 29, 2018

Shazam Education Center:
CBAI Headquarters
901 Community Drive
Springfield, IL 62703
217/529-2265

Agenda

Registration begins at 8:30 a.m.
The seminar runs from 9 a.m. to
approximately 4 p.m. A continen-
tal breakfast & lunch are provided.

CBAI is a registered Public Ac-
counting Continuing Professional
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Illinois Dept. of Financial and Pro-
fessional Regulation.

For More Information

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