

2018 LENDING COMPLIANCE INSTITUTE



***Providing a comprehensive understanding
of the major regulatory lending
compliance regulations!***

2018 Lending Compliance Institute

June 11, 12, & 13, 2018

SHAZAM Education Center

CBAI Headquarters, Education Center, Springfield, IL

Presented By:



Sponsored By:



2018 LENDING COMPLIANCE INSTITUTE

Compliance Challenge

Every community bank is faced with a bewildering array of ever-changing regulations. While all banks strive to be in compliance, the regulatory requirements can seem overwhelming. Most banks do not have the time or money to build elaborate compliance systems. The problem is getting the right information at the right time and finding a cost-effective approach to managing compliance.

Practical Solution

In response to this need, Community Bankers Association of Illinois is once again sponsoring the Lending Compliance Institute. The Lending Compliance Institute provides up-to-date information on compliance issues and developments in bank regulations, as well as proven techniques for maintaining your in-bank compliance program. Additionally, it provides a forum where bank compliance officers can discuss issues and exchange ideas with other community bankers.

Lending Compliance Institute



In this fast changing environment, there is a need for a basic, introductory class for those compliance officers who are either new to banking or new to their positions. To meet this need, we have created a comprehensive lending compliance program. It is designed to provide a comprehensive understanding of the major regulatory lending compliance regulations that have been determined to be “must knows” for compliance officers.

Who Should Attend?

New compliance officers, internal auditors, compliance back-up personnel, and other bank employees who want to be comfortable with the compliance regulations should attend this informative program. This program is also an excellent “refresher” for experienced compliance personnel.

ALERT!

This year, due to the massive size of the regulations, Regulation Z (Truth in Lending) will be covered in its entirety in a separate program called Reg Z University. This three-day Reg Z University will be offered April 16-18, 2018.

Dates and Location

June 11, 12, & 13, 2018

SHAZAM Education Center
CBAI Headquarters, 901 Community Dr., Springfield, IL 62703

Hotel Reservations:

Baymont Hotel in Springfield at 217.529.6655.
Special room rate - \$63.00

Registration: 8:30 AM on the first day
Seminar: 9:00 AM - 4:30 PM each day

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AGENDA

Lending Compliance Institute (June 11, 12, and 13, 2018)

Regulation B and the Fair Housing Act: Fair Lending
Regulation X: Real Estate Settlement Procedures Act
National Flood Insurance Program
Regulation C: Home Mortgage Disclosure Act
Compliance Management
Privacy of Customer Information
FCRA and Regulation V (Lending portion only)
Customer Identification Program (BSA)
Final Test

Registration: 8:30 AM on the first day / Seminar: 9:00 AM - 4:30 PM each day

Interactive

The program is designed to assist the attendees in getting timely answers to their questions. We encourage bankers to be active participants by bringing questions, and concerns for review by the group. This two-way flow of information increases understanding and improves the bank's effort toward a viable compliance program.

Presenters

Bill Elliott, CRCM, Senior Consultant and Manager of Compliance



With over 35 years of banking experience, Bill Elliott leads the compliance department at Young & Associates, Inc. where he conducts compliance reviews, leads compliance seminars, conducts in-house training, and writes compliance articles and training materials. During his career as a banker, Bill spent 15 years as a compliance officer in a large community bank. He has also been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments including loan review, consumer / commercial loan processing, mortgage loan processing, loan and credit administration, collections, and commercial loan workout.

Dale Neiss, CRCM, Consultant



Dale Neiss is a compliance consultant with Young & Associates, Inc. With over 30 years of banking experience in Denver, CO, Dale has developed and implemented compliance management systems, loan review, and community reinvestment act (CRA) programs, and enterprise risk management (ERM) framework for multiple banks. He has held the titles of Compliance and Loan Review Manager, BSA and CRA Officer, and Enterprise Risk Management Director. Prior to his Denver, CO banking experience, Dale began his banking career with the Office of the Comptroller of the Currency in Indianapolis, IN as an associate national bank examiner. At Young & Associates, Inc., he provides consulting and training, as well as writes articles and compliance manuals. He holds the designation of Certified Regulatory Compliance Manager (CRCM) by the Institute of Certified Bankers in Washington, D.C. Dale earned a Bachelor of Business Administration degree in Finance and Management from Kent State University.

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Registration Fees:

- \$870 per person for banks who are members of CBAI.
- \$1,740 per person for banks who are prospective members of CBAI.

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Designated Banker:

Bank Name _____

Attendee Name _____

Address _____

City _____ State _____ ZIP _____

Telephone _____ Fax _____

E-mail(s) _____

(E-mail address required for registration)

I have special needs, please contact me before the seminar.

Please select your payment method:

Check Enclosed Pay at Door Check in Mail Credit Card*

* If paying by credit card (Visa & MasterCard accepted), please fill out the following information:

Name as it reads on card _____

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Card number _____ Expiration date _____ 3-digit code _____

Total Enclosed: \$ _____

For additional information, call:

Tracy McQuinn - Senior Vice President of Education and Special Events,

Valerie Johnston - Vice President, Melinda McClelland - Vice President,

Tina Horner - Administrative Assistant, Jennifer Nika - Administrative Assistant

Department of Education and Special Events at 1-800-736-2224 for banks in Illinois

or (217) 529-2265,

or Terry Griffin - Chicago area Vice President, at (708) 714-3333.

Fax number: (217) 585-8738.