



BSA / AML CONFERENCE

Focusing on effective and meaningful elements of a BSA program.

April 18, 2019

SHAZAM Education Center

CBAI Headquarters

Springfield, IL

Presented By:



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BSA / AML CONFERENCE

Regulatory expectations for BSA continue to remain high, and banks have to react appropriately. The addition of the new CDD rules last year continued the trend of requiring more detailed and in depth monitoring of customers. This means there is a continued need to focus on the effective and meaningful elements of a BSA program. This conference will discuss many “need-to-know” issues for the BSA/AML professional. Each topic will be considered in depth, with an emphasis on practical approaches.

This one-day program is designed to focus specifically on all applicable BSA activity over the last 12 months. The agenda for this annual conference changes each year and typically includes (as applicable) an overview of recent FinCEN activity, as well as applicable case studies, industry hot topics, and an interactive round table discussion.

This program helps to keep BSA personnel informed of industry trends, regulatory focuses, and other information relevant to the BSA professional.

Our focus for 2019 will include the following topics:



FinCEN Activity and Emerging Trends

A core focus of this portion of the program is to look at applicable BSA activity over the last year. This section will discuss recent pronouncements that have been issued by FinCEN such as enforcement actions, remarks by various FinCEN employees, and other applicable releases. In addition, information from other BSA/AML groups - such as the Financial Action Task Force - may also be discussed, as applicable.

Part of this session will be devoted to a brief discussion of the 2019 SAR form, and its impact on your bank.

Marijuana and MRBs

While we have discussed this subject through webinars and other methods within the last year, by the time of the conference marijuana will be “here,” many attendees will have had to face situations regarding this issue. We will discuss what we are seeing, and will solicit comments from attendees regarding the impact it is having on your organizations.

DATE AND LOCATION

April 18, 2019

**SHAZAM Education Center
CBAI Headquarters
Springfield, IL**

Registration begins at 8:30 AM and the seminar will run from 9:00 AM to 4:00 PM.

Regulatory Update

This section will highlight recent developments in anti-money laundering laws and regulations as they are at the time of the conference. We will include the final Customer Due Diligence rule that mandates requirements for “ultimate beneficial owners.” Our discussion will include a brief overview of the final rule and time will be allotted for all participants to ask questions of the group regarding CDD issues that you encounter each day. This section will conclude with a discussion of the realities that this new information might generate in the near future.



BSA Management

We will include a discussion of various possible approaches to manage the “human” portion of the BSA process, and will invite attendees to offer suggestions that they have found effective, as well as approaches that did not work nearly as well.

Case Studies

The program will also include case studies to help further develop BSA management skills. The case studies will highlight real life scenarios that possibly could happen to you and your bank.

Roundtable Discussion: Compliance Challenges

Our session will conclude with a roundtable discussion among participants, as we share together the challenges that face bank employees charged with BSA/AML responsibilities. The presenters will attempt to group financial institutions of similar size together for this discussion. Discussion topics will be solicited from the attendees in the weeks prior to the conference.

Seminar Presenter

Bill Elliott, CRCM, Senior Consultant and Manager of Compliance



With over 40 years of banking experience, Bill Elliott leads the compliance department at Young & Associates, Inc. where he conducts compliance reviews, leads compliance seminars, conducts in-house training, and writes compliance articles and training materials. During his career as a banker, Bill spent 15 years as a compliance officer in a large community bank. He has also been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments including loan review, consumer/commercial loan processing, mortgage loan processing, loan and credit administration, collections, and commercial loan workout.

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REGISTRATION FEES

CBAI MEMBER:

- \$265 for one person.
- \$245 each for two or more persons from same banking institution.

PROSPECTIVE MEMBER:

- \$465 for one person.
- \$445 each for two or more persons from same banking institution.



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REGISTRATION FORM

Designated Banker:

Name _____

Bank _____

Address _____

City _____ State ____ ZIP _____

Telephone _____

Fax _____

E-mail _____

E-mail address is required for registration.

Additional Delegates

I have special needs, please contact me before the seminar.

Please select your payment method:

Check Enclosed Pay at Door Check in Mail Credit Card*

* If paying by credit card (Visa and MasterCard accepted), please fill out the following information:

Name as it reads on card:

Company name on card:

Billing address of card:

Card # _____

Exp. date _____ 3-digit security code _____

TOTAL AMOUNT ENCLOSED: \$ _____

For additional information, call:

Tracy McQuinn, Senior Vice President of Education and Special Events

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Tina Horner, Administrative Assistant

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Department of Education and Special Events at 1-800-736-2224 for banks in Illinois or (217) 529-2265 or Terry Griffin - Chicago area Vice President, at (773) 209-2260 or Fax number: (217) 585-8738