



# COMMUNITY BANKERS ASSOCIATION OF ILLINOIS

## Webinar – On-Demand Web Link

### Risk Management Series: ACH Risk Management & Assessment: Risks, Controls & Ratings

Thursday, December 14, 2017

2 - 3:30 p.m.

Risk assessments are a valuable and necessary tool to identify the risks associated with your ACH program and outline the mitigating factors that reduce this risk. An ACH risk assessment is also required under the NACHA Operating Rules. Have you completed one or updated yours recently? During this session, types of risk factors, mitigating controls, and how to appropriately assign risk ratings are covered from both an RDFI and an ODFI perspective. Participants gain valuable recommendations and insights from a compliance professional who has worked with numerous financial institutions and understands the challenges they face.

#### HIGHLIGHTS

- Frequency of the ACH risk assessment process according to the NACHA Operating Rules
- Various types of FFIEC-identified risk associated with ACH processing
- Controls and mitigation techniques to reduce ACH risks
- Compare your practices — findings from the field of ACH risk assessments
- Sound practices for ongoing risk management programs, depending on your risk ratings and tolerances

#### TAKE-AWAY TOOLKIT

- Sample risk-rating guide for ACH risk assessments
- Sample ACH risk assessment report template
- Sample ACH policy
- Employee training log
- Quiz you can administer to measure staff learning and a separate answer key

**This course is eligible for 1.8 AAP credits.**

**Attendance verification for CE credits provided upon request.**

#### WHO SHOULD ATTEND?

This informative session is designed for risk and compliance officers, ACH operations personnel, and anyone responsible for conducting or preparing for the ACH risk assessment.

#### ABOUT THE PRESENTER

**Angi Farren, AAP, is the EVP and COO at UMACHA.** She has more than nine years' experience working at a regional payments association and more than 16 years in the financial field, primarily focused on ACH operations, product development, and risk management. Farren received the Accredited ACH Professional (AAP) designation in 2000 and is active on several NACHA committees.

#### THREE REGISTRATION OPTIONS

##### 1. LIVE WEBINAR

The live webinar option allows you to have one telephone connection for the audio portion and one Internet connection (from a single computer terminal) to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that allow entrance to the seminar. The session is approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, PIN number, and handouts are emailed to you prior to the broadcast. You need the most-current version of Adobe Reader available free at [www.adobe.com](http://www.adobe.com).

##### 2. ON-DEMAND WEB LINK\*\*

Can't attend the live webinar? The ON-DEMAND WEB LINK\*\* is a recording of the live event including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Within five business days following the webinar, you are provided with a web link that can be viewed anytime for the next six months. This link expires six months after the live program date.

##### 3. BOTH LIVE WEBINAR AND ON-DEMAND WEB LINK\*\*

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**AFFORDABLE TRAINING, WHEN AND WHERE YOU CHOOSE.**

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